

09/01/98

The Assistant Commissioner of Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231  
ATTN: Box Patent Application

Re: U.S. Utility Patent Application  
Appl. No. (Not yet assigned); Filed: 1 SEPTEMBER 1998  
For: **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**  
Inventors: **FERNANDEZ et al.**  
Docket No.: **FERN-P004**

Sir:

The following documents are forwarded herewith for action by the U.S. Patent and Trademark Office:

1. U.S. UTILITY APPLICATION  
entitled: **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**  
having named inventor(s):  
**FERNANDEZ et al.**
  - a. a specification consisting:
    - (i) 23 pages prior to the claims, including title page;
    - (ii) 5 pages of claims;
    - (iii) 1 page abstract;
  - b. 3 sheets of informal drawings: (FIGs. 1-7 );
2. An original, executed Declaration by named inventors;
3. Form PTO-1082 (in duplicate);
4. A return post card; and
5. Check No. 0102 for \$ 395.00 to cover:

Patent application filing fee:	\$ 395.00
Excess claims fee:	\$ 0.00
6. Verified Small Entity Status Statement with Cover Sheet
7. Information Disclosure Statement and Form PTO 1449

It is respectfully requested that the attached postcard be stamped with the filing date of the above documents and unofficial application number and returned to the addressee as soon as possible.

Respectfully submitted,

DENNIS S. FERNANDEZ, ESQ.  
Reg. No. 34,160

1 September 1998  
Date

**DENNIS & IRENE FERNANDEZ LLP**  
PATENT ATTORNEYS  
2085 PORTOLA ROAD  
WOODSIDE, CA 94062-2639  
(650) 529-9237  
(650) 529-9315 : FAX  
EMAIL: [iploft@iploft.com](mailto:iploft@iploft.com)

**Certificate of Mailing By "U.S. Express Mail" Under 37 C.F.R. 1.10(c)**

"EXPRESS MAIL" Mailing Label Number: EH846208026US Date of Deposit: 1 SEPTEMBER 1998

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner For Patents, Washington, DC 20231.

Name: DENNIS S. FERNANDEZ, REG. NO. 34,160

Signature [Signature] 1 SEPTEMBER 1998  
Signature Date

**Docket No. : FERN-P004**

## APPLICATION TRANSMITTAL LETTER

Assistant Commissioner of Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231  
**ATTN: BOX PATENT APPLICATION**

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **FERNANDEZ *et al.***

Entitled: **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**

29 No. pages of specification, including title page, claims and abstract  
3 No. sheets of X informal,      formal drawings (FIGS. 1-7)

Also enclosed are:

- X Executed Declaration for Patent Application
- An Original Executed Assignment of the Application
- Form PTO-1595 (Recordation Cover Sheet for Assignment)
- X Verified Statement Claiming Small Entity Status with Cover Sheet
- X An Information Disclosure Statement (Form PTO-1449A and Form PTO-1449B)
- X A copy of References cited in Information Disclosure: 3 documents (non-patents)

### **FEES DUE**

The fees due for filing the application pursuant to 37 C.F.R. 1.16 and for recording the Assignment, if any, are determined as follow:

CLAIMS					
	No. of Claims		Extra Claims	Rate	Fees
Basic Application Fee (\$790 large entity; \$395 small entity)					\$ 395.00
Total Claims	20	Minus 20 =	0	X \$22 =	0.00
				X \$11 (small) =	
Total Independent Claims	3	Minus 3 =	0	X \$82 =	0.00
				X \$41 (small) =	
If Multiple Dependent Claims are presented, add \$250.00					
If Assignment enclosed, add Assignment Recording Fee \$40.00					0.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$ 395.00</b>

### **PAYMENT OF FEES**

The full fee due in connection with this communication is  
and is provided as follows:

\$ 395.00

2025 OCT 20 10 50 AM '98

\_\_\_\_\_ The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to **Deposit Account N : 500482**. A duplicate copy of this authorization is enclosed.

X A Check No. 0102 for the above specified full fee is enclosed. However, in case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

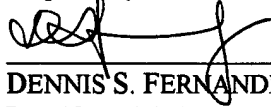
This application is filed pursuant to 37 C.F.R. 1.53 in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following address:

**DENNIS & IRENE FERNANDEZ LLP**  
**PATENT ATTORNEYS**  
2085 PORTOLA ROAD  
WOODSIDE, CA 94062-2639

(650) 529-9237  
(650) 529-9315 : FAX  
EMAIL: [iploft@iploft.com](mailto:iploft@iploft.com)

Respectfully submitted,



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DENNIS S. FERNANDEZ, ESQ.  
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